

Parameter family of invertible spin chain systems

11/26/2025 . @ Kyoto

Ken Shiozaki

§ Motivation

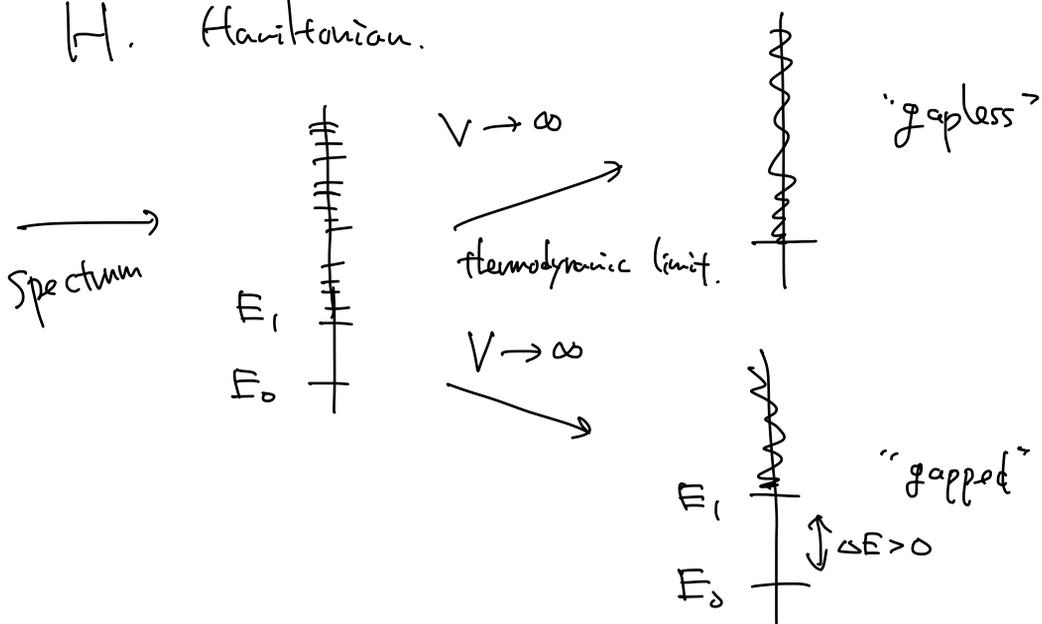
§ Matrix Product State

§ Parameter family and group action

§ Examples.

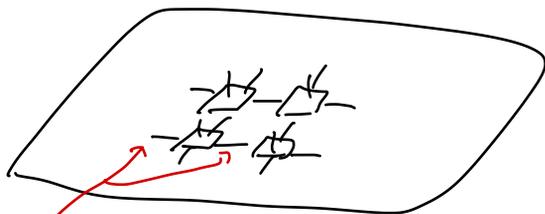
Motivation

H. Hamiltonian.



• Gapped state \Rightarrow Area law. (conjecture)

$$S_{EE} = -\text{Tr} P_A \log P_A = O(\partial A)$$

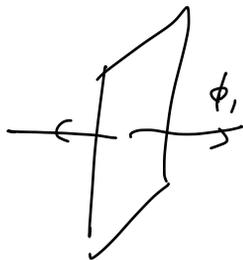


\Rightarrow Approximated by tensor network state w/ finite bond dimension.

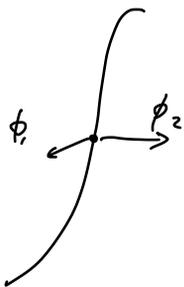
\Rightarrow easy to study using linear alg.

I'm interested in higher codimensional defect in theory space.

phase transition : codim - 1 defect.



codim 2 defect.



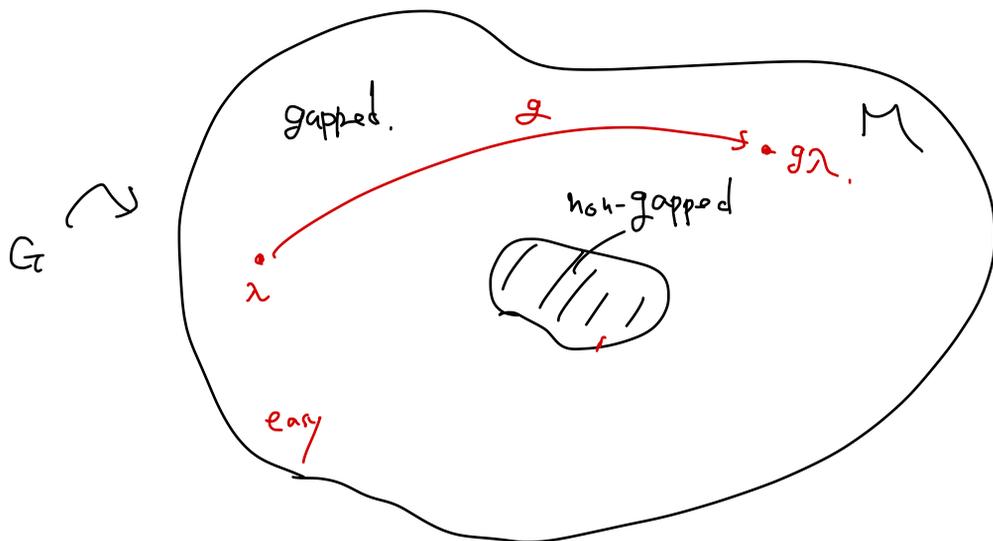
codim 3



Codim = # of "orthogonal" relevant operators of defect

What I want to discuss ---

$H(\lambda)$, $\lambda \in M$. parameter. family of Hamiltonian.



To give constraints on non-gapped region
from gapped region enclosing it.

w/ Group (or category) action on parameter space.

$$U_g H(\lambda) = H(g\lambda) U_g \quad g \in G.$$

Today's setup.

- 1D spin systems.
- invertible state. (unique gapped state)
- translational invariant (TI) $T|H\rangle = |H\rangle$.
- G . finite group. \curvearrowright parameter space M .
 $\tau \mapsto g\tau \quad g \in G, \tau \in M$.
 $U_g H(\tau) U_g^{-1} = H(g\tau)$

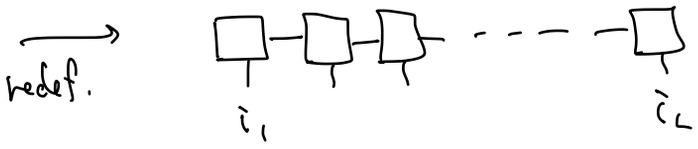
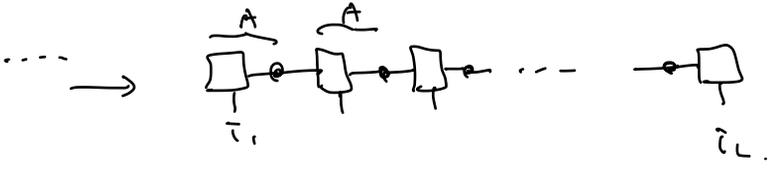
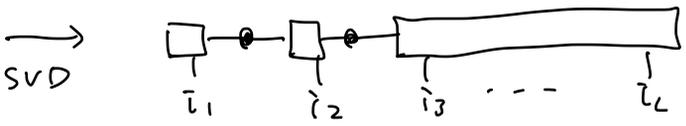
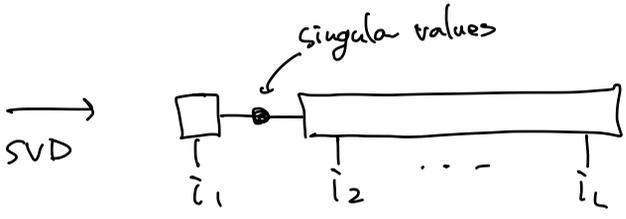
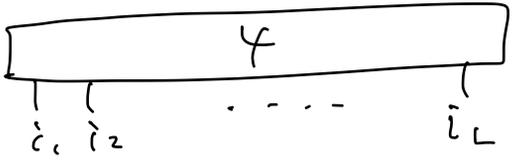
Goal

- TRS - LSM \Rightarrow codim -3 defect.
- SPT phase transition \Rightarrow codim -4 defect.

§ Matrix Product State (MPS) [Perez-Garcia=Verstraete

= Wolf = Cirac,
 quath-ph/0608197]
 (my lecture note)

$$|\Psi\rangle = \sum_{i_1, \dots, i_L=1}^d \Psi_{i_1, \dots, i_L} |i_1, \dots, i_L\rangle$$



"Open MPS"

$$= A^{[i]i_1} \dots A^{[L]i_L}$$

↗ ↘
 site dop.

Fact.

$|4\rangle$ is TI. $\psi_{i_1 \dots i_L} = \psi_{i_2 \dots i_L i_1}$.

$\Rightarrow \exists$ TI MPS.

$$|4\rangle = \sum_{i_1 \dots i_L} \text{tr}[A^{i_1} \dots A^{i_L}] |\tilde{i}_1 \dots \tilde{i}_L\rangle.$$

↑ ↗
site indep.

$$=: |A\rangle.$$

$A^i \in M_D(\mathbb{C})$. D : bond dimension.

gapped TI state $\xleftarrow{\text{approx.}}$ TI MPS.

∪

∪

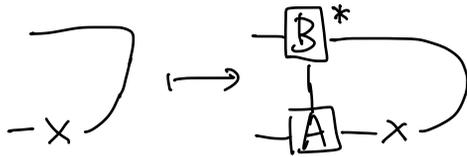
invertible TI state $\xleftarrow{\text{approx}}$ normal MPS.

Def. (Transfer matrix)

A : MPS w/ bond dim. D
 B : " " D'

$$T_A^B \in \text{End}(M_{D \times D'}(\mathbb{C})),$$

$$T_A^B(x) := \sum_{i=1}^d A^i X B^{i+}$$

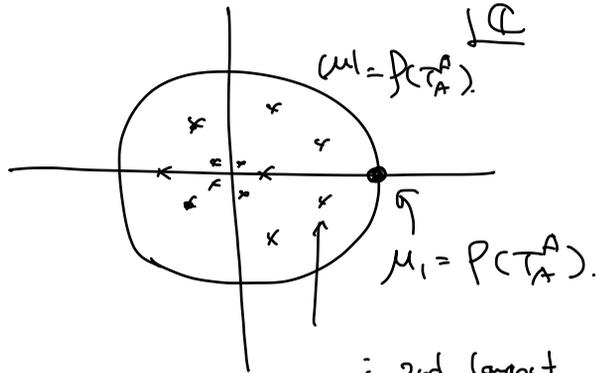


- $T \in \text{End}(V)$. $\rho(T) = \max_{\mu \in \text{Spec}(T)} |\mu|$, spectral radius
- T is positive. $\Rightarrow \rho(T) \in \text{Spec}(T)$.
($X \geq 0 \Rightarrow T(X) \geq 0$) $\exists X \geq 0, T(X) = \rho(T)X$.
- T_A^A is positive.

Def. (normal MPS)

MPS A is normal

- Def. \Leftrightarrow {
- $\mu = P(T_A^A)$ is non degenerate. (1-dim)
 - its eigen vector X is positive definite
 - $\mu \in \text{Spec}(T_A^A), \mu \neq P(T_A^A) \Rightarrow |\mu| < P(T_A^A)$



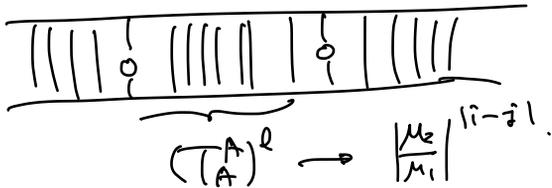
$|\mu_1| - |\mu_2| > \Delta \mu > 0$
finite gap.

Fact.

MPS A is normal.

$\Rightarrow \langle 0_i | 0_j' \rangle_{\text{can}} = \exp\left(-\frac{|i-j|}{\xi}\right)$

$\therefore \langle A | 0_i 0_j' | A \rangle$



$T_A^A = \mu_1 |XX\rangle + \mu_2 |Y\rangle + \dots$ //

"Gauge freedom" of normal MPS

A, B are MPSs related by

$$B^i = e^{i\theta} V^\dagger A^i V, \quad i=1, \dots, d.$$

⇒ physically same state.

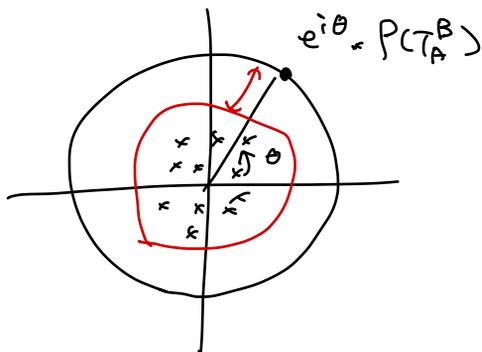
$$|B\rangle = e^{i\theta} V^\dagger |A\rangle V = e^{i\theta} |A\rangle$$

Theorem.

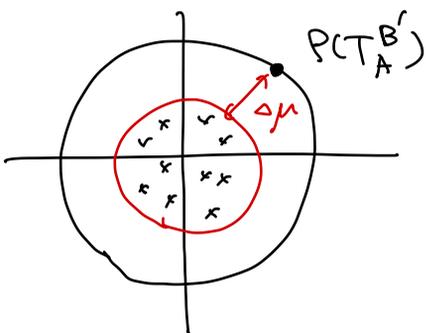
If A and B are normal.
← is also true.

• $A \underset{\text{phys}}{\sim} B.$

$\rightsquigarrow \text{Spec}(T_A^B) =$



$\Downarrow B \mapsto B + \epsilon B = B'$



• $A \underset{\text{close}}{\sim} B \stackrel{\text{def}}{\Leftrightarrow}$

$|\mu_1| - |\mu_2| > \exists \Delta\mu > 0.$

\rightarrow a kind of distance between two invertible TI states.

§ Parameter family and group action

[KS = Heinsdorf = Ohya, 2305.08109 ; KS, 2507.19432]

• M . parameter space

• $A(\tau)$, $\tau \in M$. parameter family of normal MPSs.

• Introduce a fine triangulation of M (→ simplicial str.)

(MPSs between all edges.
 $A(\tau_0)$ $A(\tau_1)$ are close to each other


We can define.

- 1-form $U(1)$ connection $A(\Delta^1)$
- 2-form $U(1)$ connection $A(\Delta^2)$.

$$\Delta^2 = (\tau_0 \dots \tau_2)$$



Construction

On each edge (τ_0, τ_1) $\begin{array}{ccc} A(\tau_0) & & A(\tau_1) \\ & \xrightarrow{\quad} & \end{array}$
define a matrix $X(\tau_0, \tau_1) \in \text{Mat}_{D(\tau_0) \times D(\tau_1)}(\mathbb{C})$ by

$$\begin{aligned} T_{A(\tau_0)}^{A(\tau_1)}(X(\tau_0, \tau_1)) &= \sum_{i=1}^d A_i^{\tau_0} X(\tau_0, \tau_1) A_i^{\tau_1 \dagger} \\ &= \mu_1(\tau_0, \tau_1) X(\tau_0, \tau_1) \end{aligned}$$

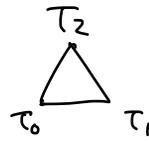
$$\leadsto a(\tau_0, \tau_1) := \text{Arg}(\mu_1(\tau_0, \tau_1)) \in \mathbb{R}/2\pi\mathbb{Z}.$$

$$(da)(\tau_0, \tau_1, \tau_2) = a(\tau_1, \tau_2) - a(\tau_0, \tau_2) + a(\tau_0, \tau_1) \sim 0.$$

$$\xrightarrow{\text{lift}} f(\tau_0, \tau_1, \tau_2) \in \mathbb{R} \Rightarrow \text{2-form curvature.}$$

$$ch_1 := \frac{1}{2\pi} \sum_{\Delta^2 \in \Sigma_2} f(\Delta^2) \in \mathbb{Z}. \quad \text{1st chern number.}$$

On each face $\Delta^2 = (\tau_0, \tau_1, \tau_2)$



2-form U(1) connection $A(\tau_0, \tau_1, \tau_2)$ is defined as.

$$A(\Delta^2) := \text{Arg} \left[\prod_{\tau} \left[\chi(\tau_0, \tau_1) \Lambda(\tau_1)^{\frac{2}{3}} \chi(\tau_1, \tau_2) \Lambda(\tau_2)^{\frac{2}{3}} \chi(\tau_2, \tau_0) \Lambda(\tau_0)^{\frac{2}{3}} \right] \right]$$

$\Lambda(\tau)$: Schmidt eigenvalues of A .

→ Wilson loop weighted by $\Lambda(\tau)$.

$$(dA)(\Delta^3) = 0 \in \mathbb{R}/2\pi\mathbb{Z}.$$

↓ lift

$F(\Delta^3) \in \mathbb{R}$. 3-form curvature. higher Berry curvature.

$$N := \frac{1}{2\pi} \sum_{\Delta^3 \in \Sigma_3} F(\Delta^3) \in \mathbb{Z}.$$

Dixmier-Douady number.

With G -action

- G . finite group $\curvearrowright M$.

$$\tau \mapsto g\tau, \quad g \in G, \tau \in M.$$

$$U_g H(\tau) U_g^{-1} = H(g\tau). \quad \text{---} \textcircled{*}$$

- $\phi: G \rightarrow \{\pm 1\}$. $\phi_g = \begin{cases} 1 & g \text{ is unitary} \\ -1 & g \text{ is anti unitary} \end{cases}$.

• Notation $X^{\phi_g} = \begin{cases} X & \phi_g = 1 \\ X^* & \phi_g = -1. \end{cases}$

- $U_g = \bigotimes_x U_{g,x}$. $U_g U_h^{\phi_g} = e^{i\omega_{gh}} U_{gh}$,
 $[\omega] \in H^2(G, \mathbb{R}/2\pi\mathbb{Z})$.

- $U_g |A(\tau)\rangle = \sum_{i_1, \dots, i_L} \text{tr} [A_{(g\tau)}^{i_1} - A_{\tau}^{i_1}]^{\phi_g} U_g |i_1, \dots, i_L\rangle^{\phi_g}$
 $= \sum_{i_1, \dots, i_L} \text{tr} [A_{(g\tau)}^{i_1} - A_{\tau}^{i_1}]^{\phi_g} \left(\bigotimes_x \sum_{i_x} |i_x\rangle [U_g]_{i_x, i_x} \right)$

$\rightsquigarrow G$ str. $\textcircled{*}$

$$\Leftrightarrow \sum_{\vec{i}} (U_g)_{ij} A_{\tau}^{\vec{i}}{}^{\phi_g} \underset{\text{phys.}}{\sim} A_{(g\tau)}^{\vec{i}}. \quad \text{---} \textcircled{**}$$

From this setup, we can define

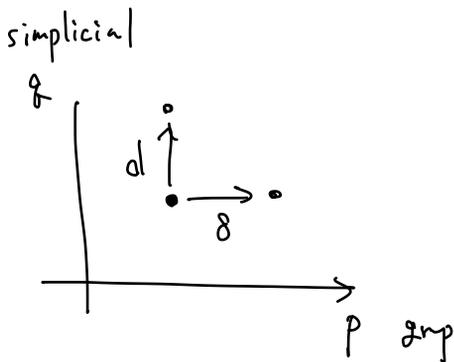
1- and 2- cochains in G -simplicial complex

$$C_{\text{group}}^P \left(G, C_{\text{simplicial}}^q(M, \mathbb{R}/2\pi\mathbb{Z}) \right)$$

left. right

with descendant equations.

$$\left(\begin{array}{l} f(\Delta^2) \in C^q(M, \mathbb{R}/2\pi\mathbb{Z}). \\ (g.f)(\Delta^2) = dg f(\Delta^2). \quad \text{(left action)} \\ (f.g)(\Delta^2) = f(g\Delta^2) = f(g\tau_0, g\tau_1, \dots, g\tau_2). \quad \text{(right action).} \end{array} \right)$$



Skip

d

$$f \in C^p_{\text{group}}(G, A).$$

$$(df)_{g_1, \dots, g_{p+1}} = g_1 \cdot f_{g_2, g_3, \dots, g_{p+1}} - f_{g_1 g_2, g_3, \dots, g_{p+1}} + (-1)^j (f_{g_1, \dots, g_p, g_{p+1}})$$

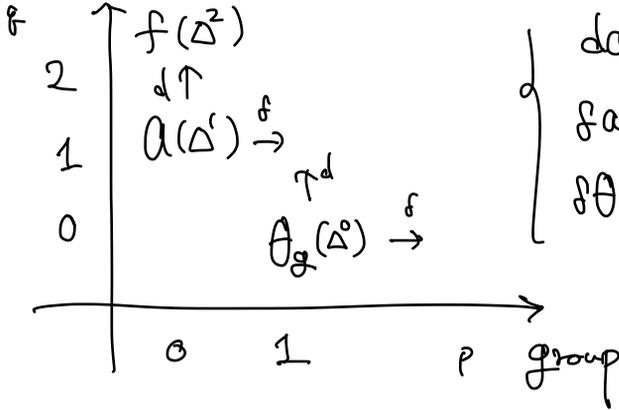
d

$$f \in C^k(M, A).$$

$$df(\tau_0, \dots, \tau_{p+1}) = f(\tau_1, \dots, \tau_{p+1}) - f(\tau_0 \tau_2, \dots, \tau_{p+1}) \\ + \dots + (-1)^j f(\tau_0, \dots, \tau_p).$$

1-cochain $(a, \theta) \xrightarrow{D} \equiv f$
 $(D = \delta + (-1)^p d)$

simplicial

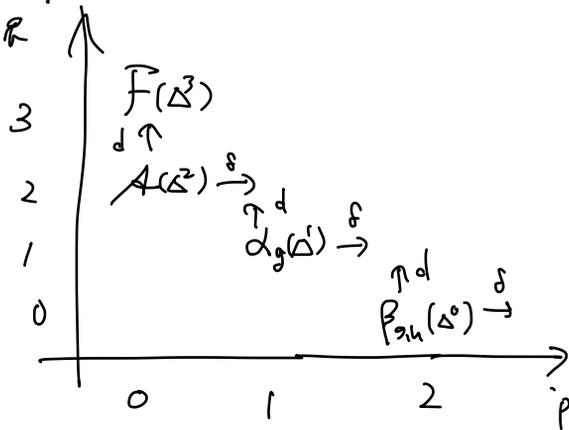


$$\left\{ \begin{array}{l} da \equiv f \pmod{2\pi}, \\ \delta a + d\theta = 0, \\ \delta\theta = \omega. \end{array} \right.$$

↑
projective factor of U_g .

2-cochain $(A, d, \beta) \xrightarrow{D} \equiv F$

simplicial



$$\left\{ \begin{array}{l} dA \equiv F \pmod{2\pi}, \\ \delta A - d\alpha = 0, \\ \delta\alpha + d\beta = 0, \\ \delta\beta = 0. \end{array} \right.$$

(Sketch of derivation)

⊛⊛

$$\Rightarrow \sum_{\vec{i}} (u_g)_{\vec{i}\vec{i}} A^{\vec{i}}(\tau) = \underbrace{e^{i\theta_g(\tau)} V_g(\tau)^{\dagger} A^{\vec{i}}(g\tau) V_g(\tau)}.$$

$$\rightarrow \theta_g(\Delta^0) \text{ def.}$$

⊙ $g \cdot h = gh$

$$\Rightarrow e^{i\theta_g(h\tau)} (e^{i\theta_h(\tau)})^{\phi_g} = e^{i\theta_{gh}(\tau)} e^{i\omega_{g,h}} \Rightarrow \delta\theta = \omega.$$

$$\Rightarrow V_g(h\tau) V_h(\tau) = \underbrace{e^{i\beta_{g,h}(\tau)} V_{gh}(\tau)}.$$

$$\rightarrow \beta_{g,h}(\tau) \text{ def.}$$

⊙ $(gh)k = g(hk) \Rightarrow \delta\beta = 0.$

⊛ $\Rightarrow G$ -str. of transfer matrix.

$$\begin{matrix} - V_g(\tau_i)^* & \left(\begin{array}{c} A(\tau_i)^* \\ | \\ A(\tau_0) \end{array} \right)^{\phi_g} & = & e^{-id\theta_g(\tau_i, \tau_0)} & \begin{matrix} - A(g\tau_i)^* - V_g(\tau_i)^* - \\ | \\ - A(g\tau_0) - V_g(\tau_0) - \end{matrix} \end{matrix}$$

⇒ eigenvalue :

$$\mu_c(\mathcal{F}\tau_0\mathcal{F}\tau_1) = e^{-id\theta_g(\tau_0\tau_1)} (\mu_c(\tau_0\tau_1))^{\mathcal{F}_g}$$

Arg → $\delta a - d\theta = 0$.

eigenvec. :

$$V_g(\tau_0) X(\tau_0\tau_1) V_g(\tau_1)^\dagger = \underbrace{e^{id_g(\tau_0\tau_1)}}_{\rightarrow \alpha_g(\Delta) \text{ def.}} X(\mathcal{F}\tau_0\mathcal{F}\tau_1)$$

⊙ $g \cdot h = gh$ for $X(\tau_0\tau_1)$

⇒ relation between e^{id_g} and $e^{i\beta_{g,h}}$.

$$\delta d + d\beta = 0.$$

⊙ G -action on higher Berry connection $\mathcal{A}(\tau_0\tau_1\tau_2)$

⇒ relation between $\mathcal{A}(\tau_0\tau_1\tau_2)$ and $\alpha_g(\tau_0\tau_1)$.

$$\delta \mathcal{A} - d\alpha = 0.$$



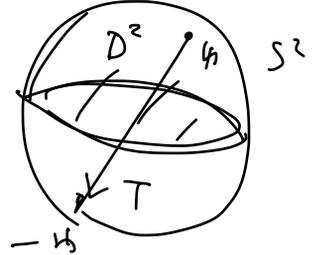
§ Applications.

Ex. 1 TRS-LSM anomaly.

$$u_\tau u_\tau^* = -1.$$

\mathbb{Z}_2^T action on 2-sphere S^2

$$\eta \mapsto -\eta \in S^2.$$



$$\Rightarrow \text{ch}_1 = \frac{1}{2\pi} \sum_{\Delta^2 \in S^2} f(\Delta^2)$$

$$= \frac{1}{2\pi} \times 2 \times \sum_{\Delta^2 \in D^2} f(\Delta^2)$$

$$\equiv_{\text{mod } 2} \frac{2}{2\pi} \times \sum_{\Delta' \in 2D^2} a(\Delta')$$

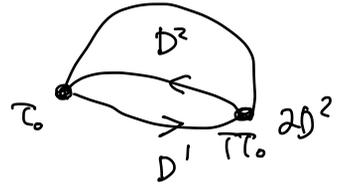
$$= \frac{2}{2\pi} \times \sum_{\Delta' \in D'} \underbrace{(a(\Delta') + a(\tau\Delta'))}_{-(\mathcal{P}a)_T(\Delta') = d\theta_T(\Delta')}$$

$$= \frac{2}{2\pi} \times \sum_{\Delta' \in D'} d\theta_T(\Delta')$$

$$= \frac{2}{2\pi} \times (\theta_T(\tau\tau_0) - \theta_T(\tau_0))$$

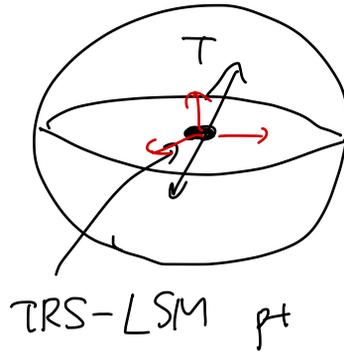
$$= \frac{2}{2\pi} \times (\mathcal{P}\theta_{T,T}(\tau_0))$$

$$= \frac{2}{2\pi} \times \underbrace{\omega_{T,T}}_{\pi} = 1 \pmod{2}.$$



\Rightarrow The TRS-LSM anomaly can be seen
as the source of $\underbrace{\text{Berry curvature}}_{\text{2-form}}$

param. space.



codim-3 defect.
in parameter
space.

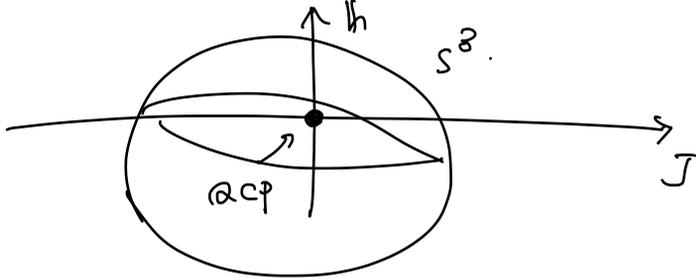
if TI is kept.

Ex. 2

Phase transition between Haldane and
triv. phases w/ TRS.

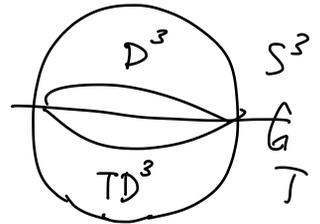
$$T H(J, h) T^{-1} = H(J, -h). \quad J \in \mathbb{R}, \quad h \in \mathbb{R}^3.$$

$\Rightarrow h=0$ is TRS-sym. line.

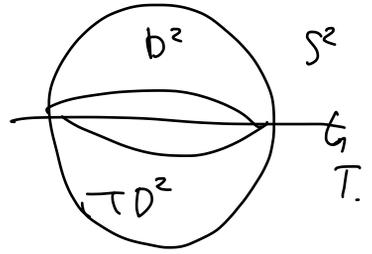


consider. a. S^3 surrounding QCP.

$$\begin{aligned} N &= \frac{1}{24} \sum_{\Delta^3 \in S^3} F(\Delta^3) \\ &= \frac{2}{24} \sum_{\Delta^3 \in D^3} F(\Delta^3) \\ &\equiv \frac{2}{24} \sum_{\Delta^3 \in D^3} dA(\Delta^3) \\ &= \frac{2}{24} \sum_{\substack{\Delta^2 \in \partial D^3 \\ \text{"} S^2 \text{"}}} A(\Delta^2). \end{aligned}$$

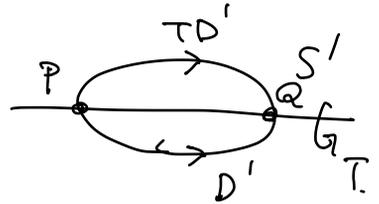


$$\frac{2}{2\pi} \sum_{\Delta^2 \in S^2} A(\Delta^2)$$



$$= \frac{2}{2\pi} \sum_{\Delta^2 \in D^2} \left[\underbrace{A(\Delta^2) + A(T\Delta^2)}_{-(\mathcal{G}A)_T(\Delta^2)} \right] = -(\mathcal{d}\alpha)_T(\Delta^2)$$

$$= \frac{2}{2\pi} \sum_{\Delta^2 \in D^2} -(\mathcal{d}\alpha)_T(\Delta^2)$$



$$= \frac{2}{2\pi} \sum_{\Delta' \in D^2} -d_T(\Delta')$$

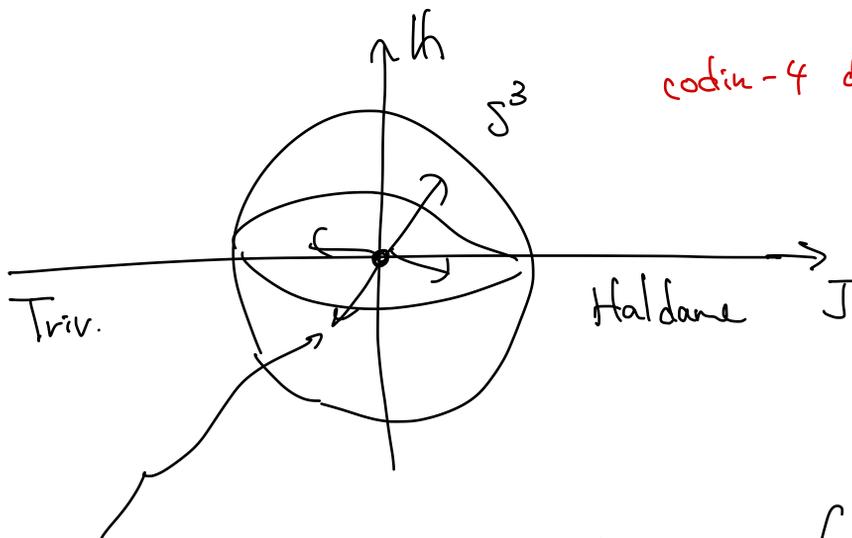
$$= \frac{2}{2\pi} \sum_{\Delta' \in D'} \left[\underbrace{-d_T(\Delta') + d_T(T\Delta')}_{-(\mathcal{G}\alpha)_{T,T}(\Delta')} \right] = (\mathcal{d}\beta)_{T,T}(\Delta')$$

$$= \frac{2}{2\pi} \sum_{\Delta' \in D'} (\mathcal{d}\beta)_{T,T}(\Delta')$$

$$= \frac{2}{2\pi} \left[\beta_{T,T}(P) - \beta_{T,T}(Q) \right]$$

$\downarrow \quad \nearrow$
 SPT invariant w/ TRS.

$$= \begin{cases} 0 & \text{same phase} \\ 1 & \text{diff. phase} \end{cases}$$



codim-4 defect.

QCP can be seen as the source of 3-form higher Berry curvature.

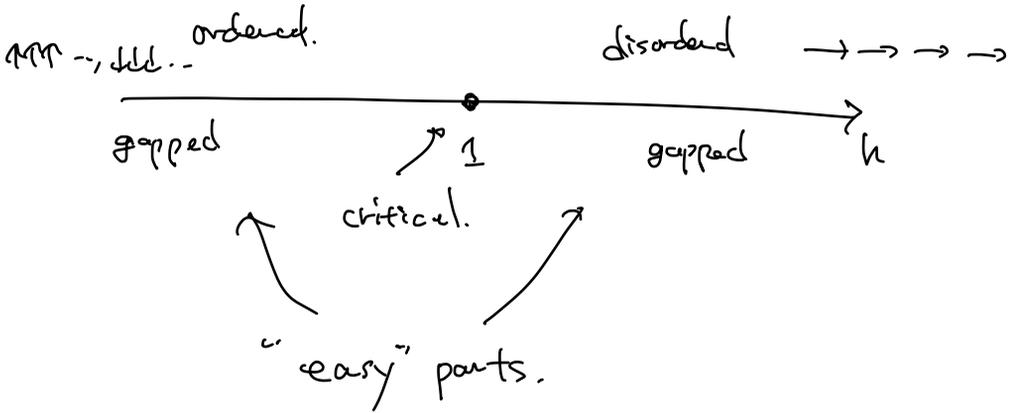
back up.

skip

Parameter family

eg. 1D transverse Ising model

$$H = - \sum \sigma_i^z \sigma_{i+1}^z - h \sum \sigma_i^x$$



\mathbb{Z}_2 sym: $V = \prod \sigma_i^x$

$$V H(h) V^{-1} = H(h)$$

trivial action on parameter space \rightarrow

Kraus-Wannier
KW

$$\left\{ \begin{array}{l} \sigma_i^x \rightarrow \sigma_i^z \sigma_{i+1}^z \\ \sigma_i^z \sigma_{i+1}^z \rightarrow \sigma_i^x \end{array} \right.$$

nontrivial action on param. space \rightarrow

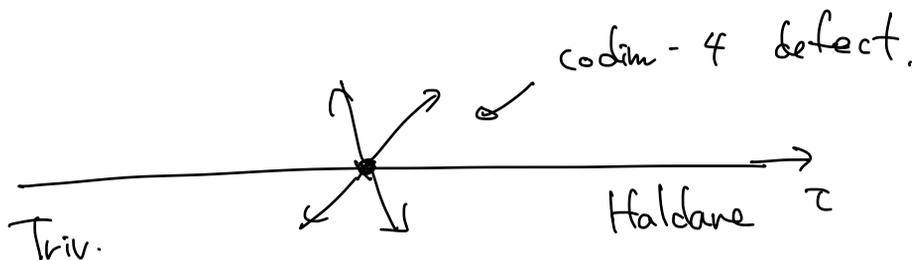
$$KW H(h) = H(h^{-1}) KW$$

(not rigorous)

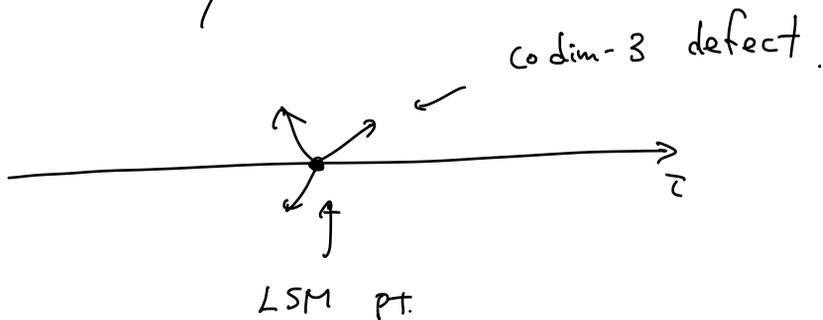
Goal

Skip

- The phase transition point between different 1D SPT phases is the source of the higher Berry curvature.



- The LSM anomalous point is the source of Berry curvature.



Skip

Area law.

$$|\psi\rangle = \sum_{\alpha} \lambda_{\alpha} (L_{\alpha}) (R_{\alpha}).$$

Schmidt
decomposition

$$\rightarrow \rho_L = \text{tr}_R |\psi\rangle\langle\psi| = \sum_{\alpha} \lambda_{\alpha}^2 (L_{\alpha})\langle L_{\alpha}|.$$

$$\begin{aligned} \rightarrow S_{EE} &= -\text{tr} \rho_L \log \rho_L \\ &= -\sum_{\alpha} \lambda_{\alpha}^2 \log \lambda_{\alpha}^2. \end{aligned}$$

[Arad-Kitaev-London-Vazirani, 1301.1162]

$$S_{EE} \leq O\left(\frac{\log^3 d}{\Delta E}\right). \quad \begin{array}{l} d: \text{dim of local Hilbert} \\ \text{sp.} \end{array} \quad \Delta E: \text{spectral gap.}$$

$$\Rightarrow -\sum_{\alpha=1}^D \lambda_{\alpha}^2 \log \lambda_{\alpha}^2$$

Prop.

Skip.

If (4) is translation invariant,

$$\Leftrightarrow \mathcal{Z}_{i_1 \dots i_L} = \mathcal{Z}_{i_2 \dots i_L i_1}$$

then $\exists B \in M_{D \times D}(\mathbb{C})$, s.t.

$$\mathcal{Z}_{i_1 \dots i_L} = \text{Tr}[B^{i_1} \dots B^{i_L}]$$

$$\left(= \underbrace{\boxed{B}_{i_1} \boxed{B}_{i_2} \dots \boxed{B}_{i_L}} \right)$$

$$\textcircled{-} \quad \mathcal{Z}_{i_1 \dots i_L} = \text{tr}[A^{[L+k]i_1} \dots A^{[L+k]i_L}] \quad \forall k \in \mathbb{Z}_{20}$$

$$= \text{tr}[B^{i_1} \dots B^{i_L}]$$

$$B = L^{-\frac{1}{L}} \begin{bmatrix} 0 & A^{[1]} & & & \\ & 0 & A^{[2]} & & \\ & & 0 & & \\ & & & \ddots & \\ & & & & A^{[L-1]} \\ A^{[L]} & & & & 0 \end{bmatrix}$$

* translationally invariant state can be represented by MPS.

Remark.

Skip

Using the gauge freedom,
normal MPSs can be chosen to satisfy.

$$\begin{cases} \sum_i A^i A^{i\dagger} = \mathbb{1}_D \\ \sum_i A^{i\dagger} \Lambda^2 A^i = \Lambda^2 \end{cases}$$

$$\Lambda = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_D \end{pmatrix},$$

$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_D > 0.$
(Schmidt eigen values).

→ "canonical condition"

$$\Rightarrow \rho(\tau_A^A) = \mathbb{1} = \mu_{\max}$$

$$|\mu_i| < 1, \quad i \geq 2.$$

From now on, I always assume the canonical condition.

Remark.

Skip.

- A and B represent the same state.

$\Rightarrow e^{-i\theta}$, V are eigenvalue and eigenvector of mixed transfer matrix.

$$T_A^B = \sum_{i=1}^d A^i \otimes (B^i)^\dagger,$$

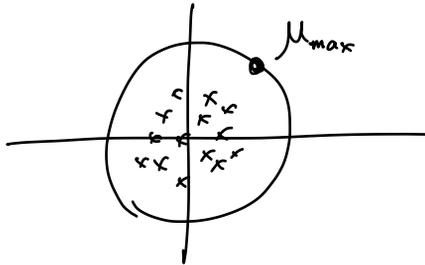
$$T_A^B(V) = e^{-i\theta} V.$$

$$B^i = e^{i\theta} V^\dagger A^i V$$

☹
$$\begin{aligned} T_A^B(V) &= \sum_i A^i V B^{i\dagger} \\ &= \sum_i e^{-i\theta} V \underbrace{B^i B^{i\dagger}} = e^{-i\theta} V. \quad // \end{aligned}$$

• If MPSs

A and B are physically "close" to each other, then the transfer matrix T_A^B also has unique largest eigenvalue in modulus.



☹ T_A^B depend continuously on MPS B .

$$T_A^B(X) = \sum_i A^i X B^{i\dagger} = \mu_{\max} X.$$

$\leadsto X$ is well-def.